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UTILITY PATENT APPLICATION TRANSMITTAL
(Large Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.
NE-1017-US/KM

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Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

APPARATUS AND METHOD FOR DESIGNING COMMUNICATION PATHS OF TREE STRUCTURE

and invented by:

Hiroyuki Saito

If a CONTINUATION APPLICATION, *check appropriate box and supply the requisite information:*

☐ **Continuation** ☐ **Divisional** ☐ **Continuation-in-part (CIP)** of prior application No.: ______

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Enclosed are:

Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 25 pages and including the following:
   a. ☒ Descriptive Title of the Invention
   b. ☐ Cross References to Related Applications *(if applicable)*
   c. ☐ Statement Regarding Federally-sponsored Research/Development *(if applicable)*
   d. ☐ Reference to Microfiche Appendix *(if applicable)*
   e. ☒ Background of the Invention
   f. ☒ Brief Summary of the Invention
   g. ☒ Brief Description of the Drawings *(if drawings filed)*
   h. ☒ Detailed Description
   i. ☒ Claim(s) as Classified Below
   j. ☒ Abstract of the Disclosure

UTILITY PATENT APPLICATION TRANSMITTAL (Large Entity) (Only for new nonprovisional applications under 37 CFR 1.53(b))	Docket No. **NE-1017-US/KM**
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Application Elements (Continued)

3. ☒ Drawing(s) *(when necessary as prescribed by 35 USC 113)*
   - a. ☒ Formal — Number of Sheets **10 (Figs. 1-10)**
   - b. ☐ Informal — Number of Sheets ______
4. ☒ Oath or Declaration
   - a. ☒ Newly executed *(original or copy)* ☐ Unexecuted
   - b. ☐ Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*
   - c. ☒ With Power of Attorney ☐ Without Power of Attorney
   - d. ☐ *DELETION OF INVENTOR(S)*
     Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference *(usable if Box 4b is checked)*
   The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ Computer Program in Microfiche *(Appendix)*
7. ☐ Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all must be included)*
   - a. ☐ Paper Copy
   - b. ☐ Computer Readable Copy *(identical to computer copy)*
   - c. ☐ Statement Verifying Identical Paper and Computer Readable Copy

Accompanying Application Parts

8. ☒ Assignment Papers *(cover sheet & document(s))*
9. ☐ 37 CFR 3.73(B) Statement *(when there is an assignee)*
10. ☐ English Translation Document *(if applicable)*
11. ☐ Information Disclosure Statement/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
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Docket No.
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Accompanying Application Parts (Continued)

15. ☒ Certified Copy of Priority Document(s) *(if foreign priority is claimed)*

16. ☐ Additional Enclosures *(please identify below)*:

Fee Calculation and Transmittal

CLAIMS AS FILED					
For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	12	- 20 =	0	x $18.00	$0.00
Indep. Claims	12	- 3 =	9	x $78.00	$702.00
Multiple Dependent Claims (check if applicable) ☐					$0.00
				BASIC FEE	$690.00
OTHER FEE *(specify purpose)*	Assignment Recordation				$40.00
				TOTAL FILING FEE	$1,432.00

☒ A check in the amount of **$1,432.00** to cover the filing fee is enclosed.

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- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Sean M McGinn

Signature

Dated: **July 14, 2000**

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P01ULRG/REV04

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APPLICATION FOR UNITED STATES LETTERS PATENT

APPLICANT: Hiroyuki Saito

FOR: APPARATUS AND METHOD FOR DESIGNING COMMUNICATION PATHS OF TREE STRUCTURE

DOCKET NO.: NE-1017-US/KM

TITLE OF THE INVENTION

Apparatus and Method for Designing Communication Paths of Tree Structure

BACKGROUND OF THE INVENTION

Field of the Invention

The present invention relates generally to communication networks and more particularly to the design of communication paths of tree structure within a communication network between an ingress node and an egress node the network.

Description of the Related Art

In a label-switched communication system, such as ATM (asynchronous transfer mode) and MPLS (multiprotocol label switching) systems, an active communication path is provisioned between an ingress node and an egress node for carrying normal traffic and, in most cases, one or more spare paths are provisioned for purposes of protection switching or distributing overflow traffic. However, a large number of virtual channel identifiers (VCIs) and virtual path identifiers (VPIs) must be registered if all possible routes are provisioned between all pairs of ingress and egress nodes. In order to overcome this problem, a technique known "VP/VC merge" has been proposed, whereby multiple communication paths are provisioned using a single VPI or VCI.

In a communication system where a single VPI/VCI is used for identifying multiple paths provisioned between an ingress and an egress node, the structure of the paths is treated as a tree and the egress node assumes the root of the tree so that traffic is carried in the opposite sense. It is

thus desirable that the number of such trees be as small as possible to reduce the number of labels (i.e., VPIs and VCIs) to a minimum.

One approach to designing a tree is to use the Dijkstra method ("Saitekika Handbook, Iri et al, Asakura Shoten publishing company), whereby all possible routes from one egress node to each ingress node are searched for in an attempt to determine shortest paths from which a tree is formed. A tree is formed by a technique known as the minimum spanning tree method ("Enshuu Graph Riron", Iri et al, Korona-sha publishing company), in which the tree is defined as one in which the total sum of branch metrics is at a minimum. Such a tree can be obtained by a technique known as the Kruskal method.

While the known techniques allow provisioning of a single tree between an ingress node and an egress node, it is impossible to design a plurality of trees between these nodes.

SUMMARY OF THE INVENTION

It is therefore an object of the present invention to provide an apparatus and method for designing a plurality of trees within a communication network. The communication path of each tree is independent from every other paths of the tree. Thus, in each tree, nodes and links are not shared by different communication paths.

Another object of the present invention is to provide an apparatus and method for designing a plurality of communication paths within a communication network with a minimum number of trees.

According to a first aspect of the present invention, an objective function is defined for minimizing a number of candidate tree graphs for

accommodating said communication paths and a first constraint equation is defined for causing all of the candidate tree graphs to form a tree. A second constraint equation is defined for accommodating the communication paths in one of the candidate tree graphs. A third constraint equation is defined for determining whether each of the candidate tree graphs is used to accommodate the communication paths. A mathematical programming problem formed by the objective function, and the first, second and third constrain equations is solved to obtain a plurality of trees in which the communication paths can be accommodated.

According to a second aspect of the present invention, an existing tree is stored and a decision is made as to whether communication paths can be accommodated in the existing tree. An objective function is defined for minimizing a number of candidate tree graphs for accommodating those communication paths which cannot be accommodated in the existing tree. A first constraint equation is defined for causing all of the candidate tree graphs to form a tree if all of the communication paths cannot be accommodated in the existing tree. A second constraint equation is defined for accommodating those communication paths that cannot be accommodated in the existing tree in one of the candidate tree graphs. A third constraint equation is defined for determining whether each of the candidate tree graphs is used to accommodate at least one of the communication paths. A mathematical programming problem formed by the objective function, and the first, second and third constrain equations is solved to obtain a plurality of trees in which those communication paths that cannot be accommodated in the existing tree can be accommodated.

According to a third aspect of the present invention, a first constraint equation is defined for causing all candidate tree graphs to form a tree and a second constraint equation is defined for accommodating communication paths in one of the candidate tree graphs. Non-negative artificial variables are embedded into the first and second constraint equations. An objective function is defined for minimizing a total number of the non-negative artificial variables. A mathematical programming problem formed by the objective function and the first and second constrain equations is solved to obtain a plurality of trees in which the communication paths can be accommodated.

According to a fourth aspect of the present invention, an existing tree is stored and a decision is made as to whether communication paths can be accommodated in the existing tree. A first constraint equation is defined for accommodating those communication paths which cannot be accommodated in the existing tree in one of candidate tree graphs. A second constraint equation is defined for causing all of the candidate tree graphs to form a tree. Non-negative artificial variables are embedded into the first and second constraint equations. An objective function for minimizing a total number of the non-negative artificial variables. A mathematical programming problem formed by the objective function, and the first and second constrain equations is solved to obtain a plurality of trees in which those communication paths which cannot be accommodated in the existing tree can be accommodated.

BRIEF DESCRIPTION OF THE DRAWINGS

The present invention will be described in further detail with reference to the accompanying drawings, in which:

Fig. 1 is a block diagram of a communication network in which a

plurality of communication paths are established in the form of a tree as viewed from an egress node;

Fig. 2 is a block diagram of an apparatus for designing communication paths within a communication network according to a first embodiment of the present invention;

Fig. 3 is a flowchart for operating the design apparatus of Fig. 2;

Fig. 4 is a modified flowchart of Fig. 3;

Fig. 5 is a block diagram of a design apparatus of the present invention according to a second embodiment;

Fig. 6 is a flowchart for operating the design apparatus of Fig. 5;

Fig. 7 is a block diagram of a design apparatus according to a third embodiment of the present invention;

Fig. 8 is a flowchart for operating the design apparatus of Fig. 7;

Fig. 9 is a block diagram of a design apparatus according to a fourth embodiment of the present invention; and

Fig. 10 is a flowchart for operating the design apparatus of Fig. 9.

DETAILED DESCRIPTION

Fig. 1 represents a fault tolerant communication network in a directed graph for purposes of explanation of the present invention. As illustrated, the network comprises a plurality of edge nodes $e_1 \sim e_{10}$ and a plurality of core (intermediate) nodes $c_1 \sim c_5$. Each edge node is called an ingress node if it receives incoming traffic from end user systems or an egress node if it delivers outgoing traffic to end user systems. Edge nodes $e_1 \sim e_{10}$ are connected to adjacent core nodes as indicated by thin lines 10. Each of the core nodes $c_1 \sim c_5$ is connected to every other core nodes as indicated by a thin

line 11.

As opposed to the usual tree graph representation in which the root node is connected by directed arcs (links) to the remaining nodes, the egress node is taken as a root node in the present invention and the links are directed towards the root (egress) node, rather than towards the remaining nodes. A link from one node to any of the other nodes is denoted by an ordered pair of nodes such as (e_7, c_4).

A communication path from an ingress node to an egress node is represented by an ordered set of nodes such as e_7-c_5-c_1-e_1. A set of such communication paths from a number of ingress nodes to the egress node forms a "tree" as viewed from the egress (root) node. For example, if it is desired to establish in the communication network a first path e_7-c_5-c_2-e_1, a second path e_7-c_4-c_3-e_1, a third path e_3-c_2-e_1 and a fourth path e_3-c_3-c_4-c_1-e_1, the first, second, third and fourth paths can be accommodated by thick lines 12, 13, 14 and 15, respectively.

According to the present invention, an apparatus for designing paths of a tree structure within a communication network is shown in Fig. 2. The design apparatus includes a computer 100, an input device 106 such as a keyboard, an output device 107 such as a display unit, and a storage medium 108 which may be a floppy disk or a read-only memory. Computer 10 includes an optimization reference generation unit 101, a tree forming condition generation unit 102, a path accommodation condition generation unit 103, a tree utilization decision threshold generation unit 104 and an optimization unit 105. A program is stored in the storage medium 108 for instructing the computer 110 to control its internal units to perform their

functions according to the flowchart of Fig. 3.

First, the input device 106 is used to enter network topology data representing a communication network. Such topology data includes a plurality of candidate tree graphs. Each of the candidate tree graphs consists of identifiers specifying edge nodes, core nodes and links interconnecting these nodes, identifiers specifying an ingress node and an egress node and a "set" of available paths between the ingress node and the egress node. Additionally, the topology data includes the number of the candidate tree graphs.

In response to the input data, the optimization reference generation unit 101 produces an objective function at step 301 (Fig. 3) according to Equation (1) as follows:

$$\text{Minimize } \sum_{t_e \in T_e} r^{t_e} \qquad (1)$$

where, t_e represents a candidate tree graph at an egress node "e", T_e represents a set of such candidate tree graphs at the egress node "e" and r^{t_e} is a variable which assumes 1 when the candidate tree graph t_e is used to accommodate a path from an ingress node, or 0 otherwise. The objective function of Equation (1) minimizes the number of candidate tree graphs used to accommodate given communication paths.

At step 302, the tree forming condition generation unit 102 defines the following Equations (2), (3) and (4) that constrain candidate tree graphs so that the elements (nodes) of the graphs are connected to form a tree. Equations (2), (3) and (4) are defined by setting the egress node of a network flow problem as a source and the of ingress and core nodes as a sink.

$$\sum_{\{m:(\ell,m)\in L^{e-c}\}} f^{t_e}_{(\ell,m)} = 1 \quad (\forall t_e \in T_e, \forall \ell \in N^{edge} \setminus \{e\}) \tag{2}$$

$$\sum_{\{m:(\ell,m)\in L^{c-c}\}} f^{t_e}_{(\ell,m)} - \sum_{\{m:(\ell,m)\in L^{c-c}\}} f^{t_e}_{(m,\ell)} + o_{(\ell,e)} f^{t_e}_{(\ell,e)} - \sum_{\{m:(m,\ell)\in L^{e-c}\}} f^{t_e}_{(m,\ell)} = 1$$
$$(\forall t_e \in T_e, \forall \ell \in N^{edge} \setminus \{e\}) \tag{3}$$

$$\sum_{\{m:(\ell,e)\in L^{e-c}\}} f^{t_e}_{(\ell,e)} = \left|N^{edge}\right| + \left|N^{core}\right| - 1$$
$$(\forall t_e \in T_e) \tag{4}$$

where, $f^{te}_{(l,m)}$ represents the amount of traffic carried by a link (l, m) of a candidate tree graph t_e, where "l" and "m" are source (upstream) and destination (downstream) nodes of the link, N^{edge} is a set of edge nodes, and $L^{e\text{-}c}$ is a set of links that interconnect core nodes and edge nodes. $L^{c\text{-}c}$ represents a set of links interconnecting core nodes, $o_{(l,e)}$ is a variable which assumes 1 when a link (l, e) exists between a core node "l" and the egress node "e", or 0 otherwise, and N^{core} represents a set of core nodes.

Equation (2) indicates that the ingress node is a source and Equation (3) indicates that the core nodes are sources, while Equation (4) indicates that the egress node is a sink where it absorbs the traffic $\left|N^{edge}\right| + \left|N^{core}\right| - 1$.

In order to constrain the links so that its number equals the number of nodes minus one, constraint Equation (5) is determined as follows:

$$\sum_{(l,m)\in L^{c-c}} h^{t_e}_{(l,m)} + \sum_{\{l:(l,e)\in L^{e-c}\}} h^{t_e}_{(l,e)} + \sum_{l\in N^{edge}\setminus\{e\}} \sum_{\{m:(l,m)\in L^{e-c}\}} h^{t_e}_{(l,e)}$$
$$= \left|N^{core}\right| + \left|N^{edge}\right| - 1 \qquad (\forall t_e \in T_e) \tag{5}$$

where $h^{te}_{(l,m)}$ is a variable that assumes 1 when the candidate tree graph t_e

uses a link (l, m), or 0 otherwise. Since the variable used in Equations (2) to (4) is different from the variable used by Equation (5), it is necessary to establish relationships between these different variables. For this reason, the following constraint Equations (6) to (8) are defined:

$$Mh_{(l,e)}^{t_e} \geq f_{(l,e)}^{t_e} \qquad (\forall t_e \in T_e, \forall (l,e) \in L^{e-c}) \tag{6}$$

$$Mh_{(l,m)}^{t_e} \geq f_{(l,m)}^{t_e} \qquad (\forall t_e \in T_e, \forall (l,m) \in L^{c-c}) \tag{7}$$

$$Mh_{(l,m)}^{t_e} \geq f_{(l,m)}^{t_e} \qquad (\forall t_e \in T_e, \forall (l,m) \in L^{e-c}, \forall l \in N^{edge} \setminus \{e\}) \tag{8}$$

where, M is an integer of sufficiently large value. Equation (6) defines the relationships between the variables of the links interconnecting the core nodes and Equation (7) defines the relationships between the variables of the links directed from core nodes to the egress node. Equation (8) defines the relationships between the variables of the links directed from ingress nodes to core nodes.

Note that the fourth terms $f^{te}{}_{(l,m)}$ and $f^{te}{}_{(m,l)}$ of Equations (2) and (3) may be replaced with $h^{te}{}_{(l,m)}$ and $h^{te}{}_{(m,l)}$, respectively. In this case, Equation (8) is not necessary. Alternatively, Equation (5) can be modified as Equation (9) given below:

$$\sum_{(l,m)\in L^{c-c}} h_{(l,m)}^{t_e} + \sum_{\{l:(l,e)\in L^{e-c}\}} h_{(l,e)}^{t_e} = \left| N^{core} \right| \qquad (\forall t_e \in T_e) \tag{9}$$

At step 303, the path accommodation condition generation unit 103 produces Equations (10) and (11) as follows in order to accommodate the given paths into the candidate tree graph:

$$\sum_{(l,m)\in\left\{L^{P(i,e)}\cap L^{c-c}\right\}} h^{t_e}_{(l,m)} + \sum_{(l,m)\in\left\{L^{P(i,e)}\cap L^{e-c}\right\}} h^{t_e}_{(l,m)} \geq \left|L^{P(i,e)}\right| \delta^{t_e}_{P(i,e)}$$

$$\left(p(i,e) \in P_{(i,e)}, i \in N^{edge} \setminus \{e\}, t_e \in T_e\right) \qquad (10)$$

$$\sum_{t_e \in T_e} \delta^{t_e}_{P(i,e)} \geq 1 \qquad \left(\forall p(i,e) \in P_{(i,e)}, \forall i \in N\{e\}\right) \qquad (11)$$

where, $p_{(i,e)}$ is the element of a set of links $P_{(i,e,)}$ between an ingress node "i" and an egress node "e" and $\delta^{te}{}_{p(i,e)}$ is a variable that assumes 1 when the candidate tree graph t_e includes the path $p_{(i,e)}$, or 0 otherwise. In Equation (10) the variables $h^{te}{}_{(l,m)}$ associated with links used by paths $p_{(i,e)}$ are summed. If the sum is equal to the number of hops of the path $p_{(i,e)}$, Equation (10) indicates that the path $p_{(i,e)}$ is accommodated in the candidate tree graph t_e.

At step 304, the tree utilization decision threshold generation unit 104 produces Equation (12) that determines whether a candidate tree graph is used for accommodating the path.

$$\sum_{i\in N^{edge}\setminus\{e\}} \sum_{P_{(i,e)}\in P_{(i,e)}} \delta^{t_e}_{P(i,e)} \leq Mr^{t_e} \qquad \left(\forall t_e \in T_e\right) \qquad (12)$$

According to Equation (12), the variable r^{te} is set equal to 1 even if there is only one candidate tree graph t_e that accommodates a path.

Finally, at step 305, the optimization unit 105 uses a simplex method to solve the mathematical programming problem formed by objective function (1) and constraint Equations (2) to (12) defined by the units 101, 102, 103 and 104 to obtain a minimum number of trees. If it is desired to design a path from the ingress node to more than one egress node, the process of Fig. 3 may be repeated for each of the egress nodes.

The design algorithm of Fig. 3 may be modified as shown in Fig. 4

which differs from the previous embodiment in that the tree forming condition generation unit 102 performs step 402 instead of step 302 of Fig. 3.

At step 402, the tree forming condition generation unit 102 produces Equations (2) to (4) as described above and then Equations (13) and (14) for using only one of the links that emanate from source nodes which include the ingress node and all core nodes.

$$\sum_{\{m:(l,m)\in L^{c-c}\}} h^{t_e}_{(l,m)} + o_{(l,e)} h^{t_e}_{(l,e)} = 1 \quad \left(\forall l \in N^{core}, \forall t_e \in T_e\right) \qquad (14)$$

$$\sum_{\{m:(l,m)\in L^{c-c}\}} h^{t_e}_{(l,m)} = 1 \quad \left(\forall l \in N^{edge} \setminus \{e\}, \forall t_e \in T_e\right) \qquad (13)$$

Equation (13) is used for constraining the links that emanate from the ingress node to one link, and Equation (14) is used for constraining the links that emanate from all core nodes to one link. Equation (3) may be altered as Equation (15) as follows if the core nodes are not treated as sources.

Apparatus of Fig. 2 may be modified as shown in Fig. 5 by additionally including an existing tree memory 501 for storing a set of existing trees entered through the input device 106.

The flowchart of Fig. 3 may be further modified as shown in Fig. 6 to control the computer 100 of Fig. 5. In this modification, the existing trees from the input device 106 are stored in the memory 501 at step 601. At step 602, the CPU of computer 100 reads a stored existing tree t_e from the memory and determines whether a desired path $p_{(i,\ e)}$ can be accommodated in the read existing tree t_e (step 603) by using the following decision Equation (16) given below.

$$\sum_{(\ell,m)\in\left\{L^{P(i,e)}\cap L^{c-c}\right\}} j^{t_e}_{(\ell,m)} + \sum_{\left\{(\ell,m)\in\left\{L^{P(i,e)}\cap L^{e-c}\right\}\right\}} j^{t_e}_{(\ell,m)} = \left|L^{P(i,e)}\right| \tag{16}$$

where, $j^{te}{}_{(l,m)}$ is a variable which assumes 1 if the existing tree t_e is using the link (l, m) or 0 otherwise. If all the given communication paths can be accommodated in the read existing tree, the decision is affirmative at step 603 and flow proceeds to step 604 to check to see if all existing trees are tested. If so, the computer proceeds to the end of the routine. Otherwise, flow returns from step 604 to step 602 to read out the next existing tree from the memory. If the decision at step 603 is negative, steps 301, 302 (or 402), 303, 304 and 305 are performed in the same manner as described above on the communication paths which cannot be accommodated in the read existing tree.

Fig. 7 is a block diagram of a further modification of the present invention in which the optimization reference generation unit 101 of the previous embodiments is replaced with a realizability decision threshold generation unit 701 and the tree utilization decision condition generation unit 104 is replaced with an artificial variable embedding unit 704.

Fig. 8 is a flowchart for operating the design apparatus of Fig. 7. The computer initially instructs the tree forming condition generation unit 102 to perform steps 302 (or 402) of the previous embodiments and then instructs the optimization reference generation unit 103 to perform step 303 to produce constraint Equations (2) to (12). At step 801, the artificial variable embedding unit 704 embeds an artificial variable into each of the constraint Equations by setting coefficient matrix A, variable vector x, coefficient vector c. If artificial variable vector is denoted as "y" and the artificial variable of

the k-th constraint Equation is denoted as "y_k", the k-th Equation would be represented as follows:

$$a_k x + y_k = c_k \qquad (17)$$

At step 802, the realizability decision threshold generation unit 701 produces an objective function that minimizes the total value of the embedded artificial variables. At step 803, the optimization unit 105 solves the objective function. If the objective function is zero (step 803), the optimization unit proceeds to solve the mathematical programming problem of the constraint Equations to obtain a minimum number of trees (step 804).

The previous embodiments of Figs. 5 and 7 can be combined as shown in Fig. 9 such that the existing tree storage and decision unit 501 is associated with the units 701, 102, 103 and 704. The operation of the apparatus of Fig. 9 proceeds according to the flowchart of Fig. 10 which combines the flowcharts of Figs. 6 and 8. In Fig. 10, step 803 branches out to step 604 if the objective function is not equal to zero in order to repeat the testing on the next existing tree stored in the memory if all existing trees still have not been tested.

What is claimed is:

1. In a communication network comprising an ingress node, a plurality of core nodes connected by links to the ingress node, and an egress node connected by links to the ingress node via the core nodes, said ingress node receiving communication traffic of the network and said egress node delivering communication traffic of the network, an apparatus for designing a plurality of communication paths between said ingress node and said egress node, the apparatus comprising:

means for defining an objective function for minimizing a number of candidate tree graphs for accommodating said communication paths;

means for defining a first constraint equation for causing all of said candidate tree graphs to form a tree;

means for defining a second constraint equation for accommodating said communication paths in one of said candidate tree graphs;

means for defining a third constraint equation for determining whether each of said candidate tree graphs is used to accommodate said communication paths; and

means for solving a mathematical programming problem formed by said objective function, and said first, second and third constrain equations to obtain a plurality of trees in which said communication paths can be accommodated.

2. In a communication network comprising an ingress node, a plurality of core nodes connected by links to the ingress node, and an egress

node connected by links to the ingress node via the core nodes, said ingress node receiving communication traffic of the network and said egress node delivering communication traffic of the network, an apparatus for designing a plurality of communication paths between said ingress node and said egress node, the apparatus comprising:

means for storing an existing tree and determining whether said communication paths can be accommodated in said existing tree;

means for defining an objective function for minimizing a number of candidate tree graphs for accommodating ones of said communication paths which cannot be accommodated in said existing tree;

means for defining a first constraint equation for causing all of said candidate tree graphs to form a tree if all of said communication paths cannot be accommodated in said existing tree;

means for defining a second constraint equation for accommodating said ones of communication paths in one of said candidate tree graphs;

means for defining a third constraint equation for determining whether each of said candidate tree graphs is used to accommodate at least one of said communication paths; and

means for solving a mathematical programming problem formed by said objective function, and said first, second and third constrain equations to obtain a plurality of trees in which said ones of communication paths can be accommodated.

3. In a communication network comprising an ingress node, a plurality of core nodes connected by links to the ingress node, and an egress

node connected by links to the ingress node via the core nodes, said ingress node receiving communication traffic of the network and said egress node delivering communication traffic of the network, an apparatus for designing a plurality of communication paths between said ingress node and said egress node, the apparatus comprising:

means for defining a first constraint equation for causing all candidate tree graphs to form a tree;

means for defining a second constraint equation for accommodating said communication paths in one of said candidate tree graphs;

means for embedding non-negative artificial variables into said first and second constraint equations;

means for defining an objective function for minimizing a total number of said non-negative artificial variables; and

means for solving a mathematical programming problem formed by said objective function, and said first and second constrain equations to obtain a plurality of trees in which said communication paths can be accommodated.

4. In a communication network comprising an ingress node, a plurality of core nodes connected by links to the ingress node, and an egress node connected by links to the ingress node via the core nodes, said ingress node receiving communication traffic of the network and said egress node delivering communication traffic of the network, an apparatus for designing a plurality of communication paths between said ingress node and said egress node, the apparatus comprising:

means for storing an existing tree and determining whether said

communication paths can be accommodated in said existing tree;

means for defining a first constraint equation for accommodating ones of said communication paths which cannot be accommodated in said existing tree in one of said candidate tree graphs;

means for defining a second constraint equation for causing all of said candidate tree graphs to form a tree;

means for embedding non-negative artificial variables into said first and second constraint equations;

means for defining an objective function for minimizing a total number of said non-negative artificial variables; and

means for solving a mathematical programming problem formed by said objective function, and said first and second constrain equations to obtain a plurality of trees in which said ones of communication paths can be accommodated.

5. In a communication network comprising an ingress node, a plurality of core nodes connected by links to the ingress node, and an egress node connected by links to the ingress node via the core nodes, said ingress node receiving communication traffic of the network and said egress node delivering communication traffic of the network, a method of designing a plurality of communication paths between said ingress node and said egress node, the method comprising:

defining an objective function for minimizing a number of candidate tree graphs for accommodating said communication paths;

defining a first constraint equation for causing all of said candidate tree

graphs to form a tree;

defining a second constraint equation for accommodating said communication paths in one of said candidate tree graphs;

defining a third constraint equation for determining whether each of said candidate tree graphs is used to accommodate said communication paths; and

solving a mathematical programming problem formed by said objective function, and said first, second and third constrain equations to obtain a plurality of trees in which said communication paths can be accommodated.

6. In a communication network comprising an ingress node, a plurality of core nodes connected by links to the ingress node, and an egress node connected by links to the ingress node via the core nodes, said ingress node receiving communication traffic of the network and said egress node delivering communication traffic of the network, a method of designing a plurality of communication paths between said ingress node and said egress node, the method comprising:

storing an existing tree and determining whether said communication paths can be accommodated in said existing tree;

defining an objective function for minimizing a number of candidate tree graphs for accommodating ones of said communication paths which cannot be accommodated in said existing tree;

defining a first constraint equation for causing all of said candidate tree graphs to form a tree if all of said communication paths cannot be accommodated in said existing tree;

defining a second constraint equation for accommodating said ones of communication paths in one of said candidate tree graphs;

defining a third constraint equation for determining whether each of said candidate tree graphs is used to accommodate at least one of said communication paths; and

solving a mathematical programming problem formed by said objective function, and said first, second and third constrain equations to obtain a plurality of trees in which said ones of said communication paths can be accommodated.

7. In a communication network comprising an ingress node, a plurality of core nodes connected by links to the ingress node, and an egress node connected by links to the ingress node via the core nodes, said ingress node receiving communication traffic of the network and said egress node delivering communication traffic of the network, a method of designing a plurality of communication paths between said ingress node and said egress node, the method comprising:

defining a first constraint equation for causing all candidate tree graphs to form a tree;

defining a second constraint equation for accommodating said communication paths in one of said candidate tree graphs;

embedding non-negative artificial variables into said first and second constraint equations;

defining an objective function for minimizing a total number of said non-negative artificial variables; and

solving a mathematical programming problem formed by said objective function, and said first and second constrain equations to obtain a plurality of trees in which said communication paths can be accommodated.

8. In a communication network comprising an ingress node, a plurality of core nodes connected by links to the ingress node, and an egress node connected by links to the ingress node via the core nodes, said ingress node receiving communication traffic of the network and said egress node delivering communication traffic of the network, a method of designing a plurality of communication paths between said ingress node and said egress node, the method comprising:

storing an existing tree and determining whether said communication paths can be accommodated in said existing tree;

defining a first constraint equation for accommodating ones of said communication paths which cannot be accommodated in said existing tree in one of said candidate tree graphs;

defining a second constraint equation for causing all of said candidate tree graphs to form a tree;

embedding non-negative artificial variables into said first and second constraint equations;

defining an objective function for minimizing a total number of said non-negative artificial variables; and

solving a mathematical programming problem formed by said objective function, and said first and second constrain equations to obtain a plurality of trees in which said ones of communication paths can be accommodated.

9. In a communication network comprising an ingress node, a plurality of core nodes connected by links to the ingress node, and an egress node connected by links to the ingress node via the core nodes, said ingress node receiving communication traffic of the network and said egress node delivering communication traffic of the network, a storage medium for storing an algorithm for operating a computer to design a plurality of communication paths between said ingress node and said egress node, said algorithm comprising:

defining an objective function for minimizing a number of candidate tree graphs for accommodating said communication paths;

defining a first constraint equation for causing all of said candidate tree graphs to form a tree;

defining a second constraint equation for accommodating said communication paths in one of said candidate tree graphs;

defining a third constraint equation for determining whether each of said candidate tree graphs is used to accommodate said communication paths; and

solving a mathematical programming problem formed by said objective function, and said first, second and third constrain equations to obtain a plurality of trees in which said communication paths can be accommodated.

10. In a communication network comprising an ingress node, a plurality of core nodes connected by links to the ingress node, and an egress node connected by links to the ingress node via the core nodes, said ingress node receiving communication traffic of the network and said egress node

delivering communication traffic of the network, a storage medium for storing an algorithm for operating a computer to design a plurality of communication paths between said ingress node and said egress node, said algorithm comprising:

storing an existing tree and determining whether said communication paths can be accommodated in said existing tree;

defining an objective function for minimizing a number of candidate tree graphs for accommodating ones of said communication paths which cannot be accommodated in said existing tree;

defining a first constraint equation for causing all of said candidate tree graphs to form a tree if all of said communication paths cannot be accommodated in said existing tree;

defining a second constraint equation for accommodating said ones of communication paths in one of said candidate tree graphs;

defining a third constraint equation for determining whether each of said candidate tree graphs is used to accommodate at least one of said communication paths; and

solving a mathematical programming problem formed by said objective function, and said first, second and third constrain equations to obtain a plurality of trees in which said communication paths can be accommodated.

11. In a communication network comprising an ingress node, a plurality of core nodes connected by links to the ingress node, and an egress node connected by links to the ingress node via the core nodes, said ingress node receiving communication traffic of the network and said egress node

delivering communication traffic of the network, a storage medium for storing an algorithm for operating a computer to design a plurality of communication paths between said ingress node and said egress node, said algorithm comprising:

defining a first constraint equation for causing all candidate tree graphs to form a tree;

defining a second constraint equation for accommodating said communication paths in one of said candidate tree graphs;

embedding non-negative artificial variables into said first and second constraint equations;

defining an objective function for minimizing a total number of said non-negative artificial variables; and

solving a mathematical programming problem formed by said objective function, and said first and second constrain equations to obtain a plurality of trees in which said ones of said communication paths can be accommodated.

12. In a communication network comprising an ingress node, a plurality of core nodes connected by links to the ingress node, and an egress node connected by links to the ingress node via the core nodes, said ingress node receiving communication traffic of the network and said egress node delivering communication traffic of the network, a storage medium for storing an algorithm for operating a computer to design a plurality of communication paths between said ingress node and said egress node, said algorithm comprising:

storing an existing tree and determining whether said communication

paths can be accommodated in said existing tree;

defining a first constraint equation for accommodating ones of said communication paths which cannot be accommodated in said existing tree in one of said candidate tree graphs;

defining a second constraint equation for causing all of said candidate tree graphs to form a tree;

embedding non-negative artificial variables into said first and second constraint equations;

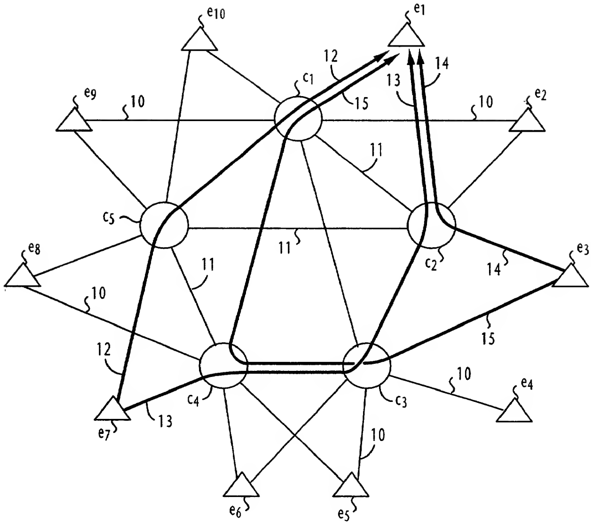
defining an objective function for minimizing a total number of said non-negative artificial variables; and

solving a mathematical programming problem formed by said objective function, and said first and second constrain equations to obtain a plurality of trees in which said ones of communication paths can be accommodated.

ABSTRACT OF THE DISCLOSURE

For designing communication paths of tree in a network, an objective function is defined for minimizing a number of candidate tree graphs for accommodating said communication paths and a first constraint equation is defined for causing all of the candidate tree graphs to form a tree. A second constraint equation is defined for accommodating the communication paths in one of the candidate tree graphs. A third constraint equation is defined for determining whether each of the candidate tree graphs is used to accommodate the communication paths. A mathematical programming problem formed by the objective function, and the first, second and third constrain equations is solved to obtain a plurality of trees in which the communication paths can be accommodated.

FIG. 1


e10
e1
12
14
13
c1
e9
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e2
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c5
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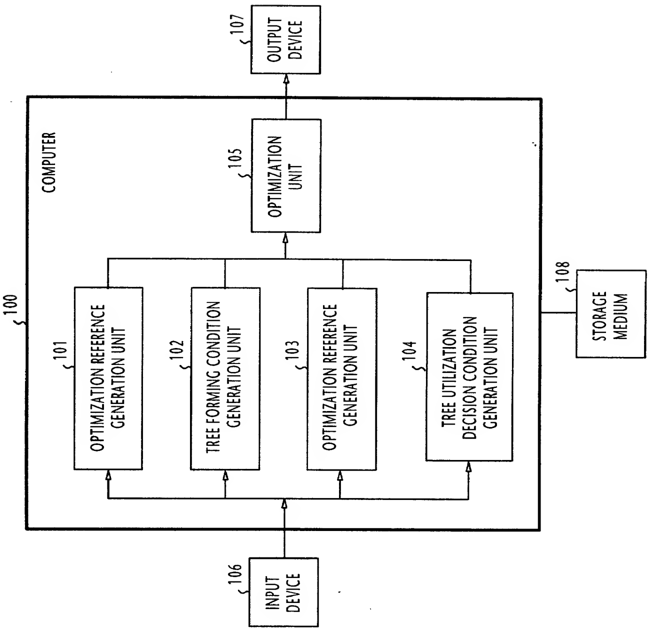
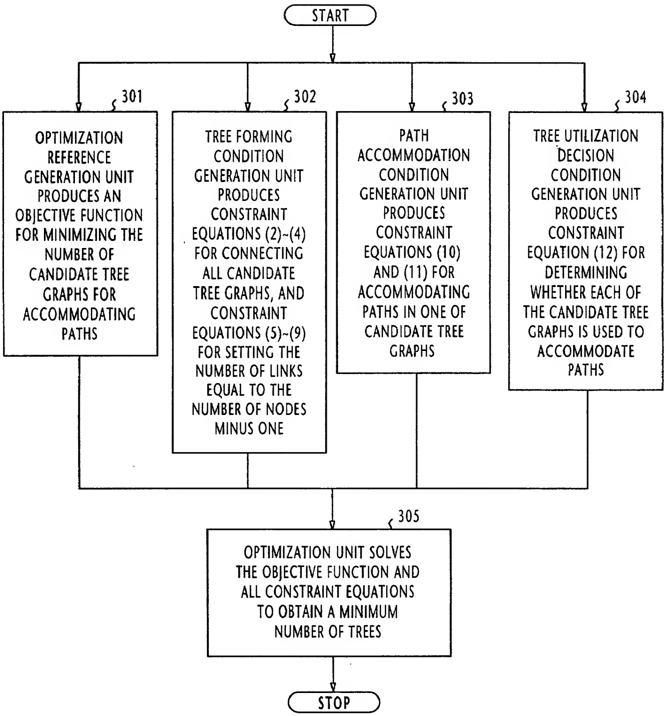

COMPUTER
100
101
OPTIMIZATION REFERENCE GENERATION UNIT
102
TREE FORMING CONDITION GENERATION UNIT
103
OPTIMIZATION REFERENCE GENERATION UNIT
104
TREE UTILIZATION DECISION CONDITION GENERATION UNIT
105
OPTIMIZATION UNIT
106
INPUT DEVICE
107
OUTPUT DEVICE
108
STORAGE MEDIUM

FIG. 2

FIG. 3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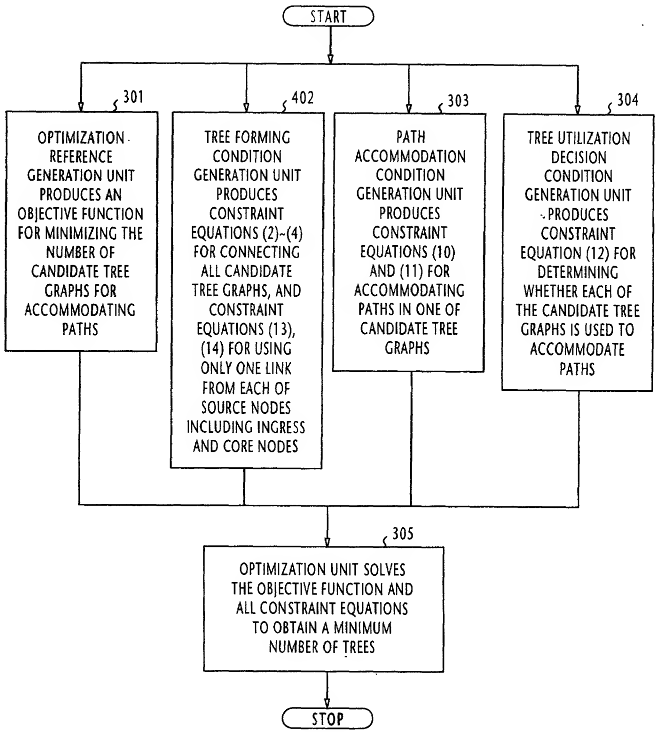
301
OPTIMIZATION REFERENCE GENERATION UNIT PRODUCES AN OBJECTIVE FUNCTION FOR MINIMIZING THE NUMBER OF CANDIDATE TREE GRAPHS FOR ACCOMMODATING PATHS
302
TREE FORMING CONDITION GENERATION UNIT PRODUCES CONSTRAINT EQUATIONS (2)~(4) FOR CONNECTING ALL CANDIDATE TREE GRAPHS, AND CONSTRAINT EQUATIONS (5)~(9) FOR SETTING THE NUMBER OF LINKS EQUAL TO THE NUMBER OF NODES MINUS ONE
303
PATH ACCOMMODATION CONDITION GENERATION UNIT PRODUCES CONSTRAINT EQUATIONS (10) AND (11) FOR ACCOMMODATING PATHS IN ONE OF CANDIDATE TREE GRAPHS
304
TREE UTILIZATION DECISION CONDITION GENERATION UNIT PRODUCES CONSTRAINT EQUATION (12) FOR DETERMINING WHETHER EACH OF THE CANDIDATE TREE GRAPHS IS USED TO ACCOMMODATE PATHS
305
OPTIMIZATION UNIT SOLVES THE OBJECTIVE FUNCTION AND ALL CONSTRAINT EQUATIONS TO OBTAIN A MINIMUM NUMBER OF TREES
STOP

FIG. 4


START
301
OPTIMIZATION REFERENCE GENERATION UNIT PRODUCES AN OBJECTIVE FUNCTION FOR MINIMIZING THE NUMBER OF CANDIDATE TREE GRAPHS FOR ACCOMMODATING PATHS
402
TREE FORMING CONDITION GENERATION UNIT PRODUCES CONSTRAINT EQUATIONS (2)~(4) FOR CONNECTING ALL CANDIDATE TREE GRAPHS, AND CONSTRAINT EQUATIONS (13), (14) FOR USING ONLY ONE LINK FROM EACH OF SOURCE NODES INCLUDING INGRESS AND CORE NODES
303
PATH ACCOMMODATION CONDITION GENERATION UNIT PRODUCES CONSTRAINT EQUATIONS (10) AND (11) FOR ACCOMMODATING PATHS IN ONE OF CANDIDATE TREE GRAPHS
304
TREE UTILIZATION DECISION CONDITION GENERATION UNIT PRODUCES CONSTRAINT EQUATION (12) FOR DETERMINING WHETHER EACH OF THE CANDIDATE TREE GRAPHS IS USED TO ACCOMMODATE PATHS
305
OPTIMIZATION UNIT SOLVES THE OBJECTIVE FUNCTION AND ALL CONSTRAINT EQUATIONS TO OBTAIN A MINIMUM NUMBER OF TREES
STOP

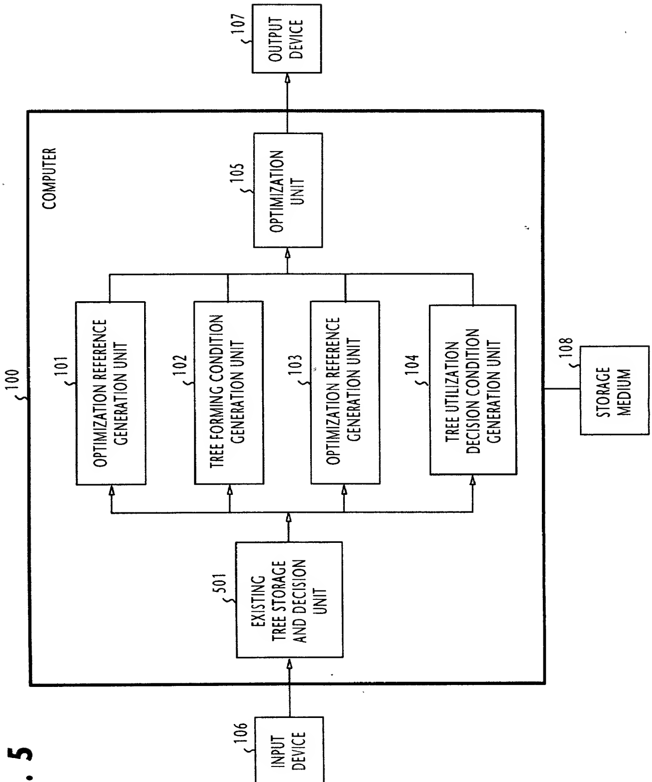

COMPUTER
100
101
OPTIMIZATION REFERENCE GENERATION UNIT
102
TREE FORMING CONDITION GENERATION UNIT
103
OPTIMIZATION REFERENCE GENERATION UNIT
104
TREE UTILIZATION DECISION CONDITION GENERATION UNIT
105
OPTIMIZATION UNIT
106
INPUT DEVICE
107
OUTPUT DEVICE
108
STORAGE MEDIUM
501
EXISTING TREE STORAGE AND DECISION UNIT

FIG. 5

FIG. 6

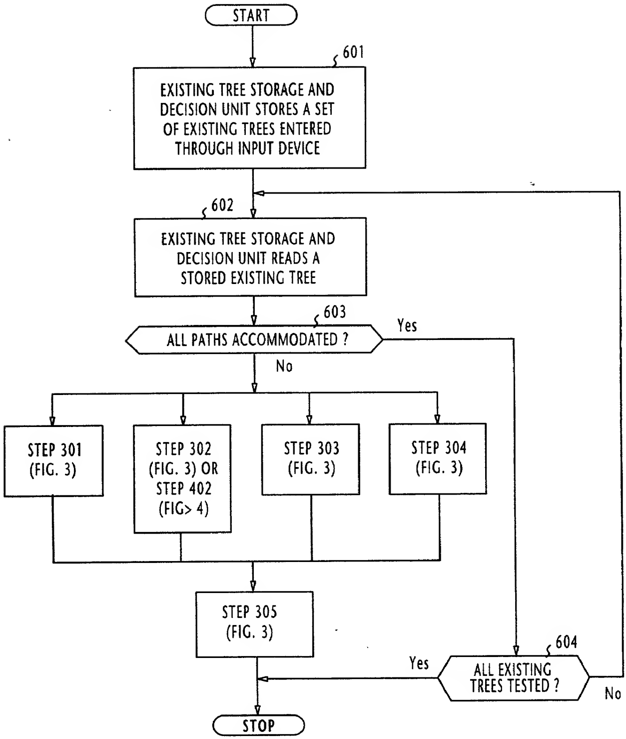
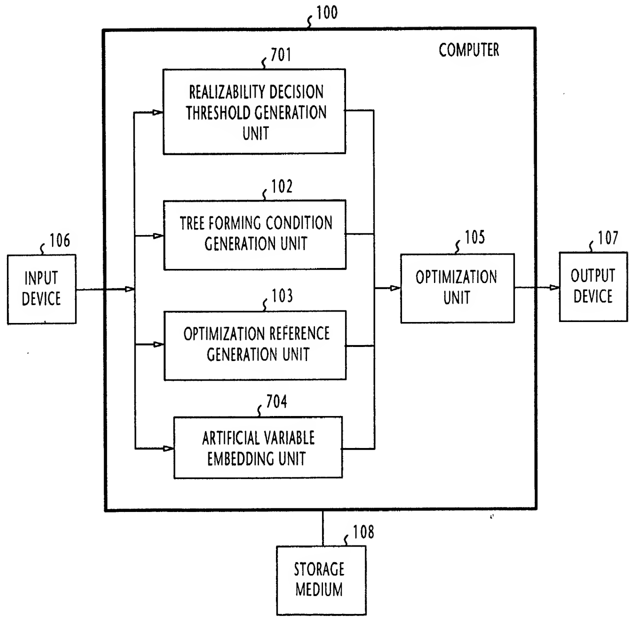

START
601
EXISTING TREE STORAGE AND DECISION UNIT STORES A SET OF EXISTING TREES ENTERED THROUGH INPUT DEVICE
602
EXISTING TREE STORAGE AND DECISION UNIT READS A STORED EXISTING TREE
603
ALL PATHS ACCOMMODATED ?
Yes
No
STEP 301 (FIG. 3)
STEP 302 (FIG. 3) OR STEP 402 (FIG> 4)
STEP 303 (FIG. 3)
STEP 304 (FIG. 3)
STEP 305 (FIG. 3)
604
ALL EXISTING TREES TESTED ?
Yes
No
STOP

FIG. 7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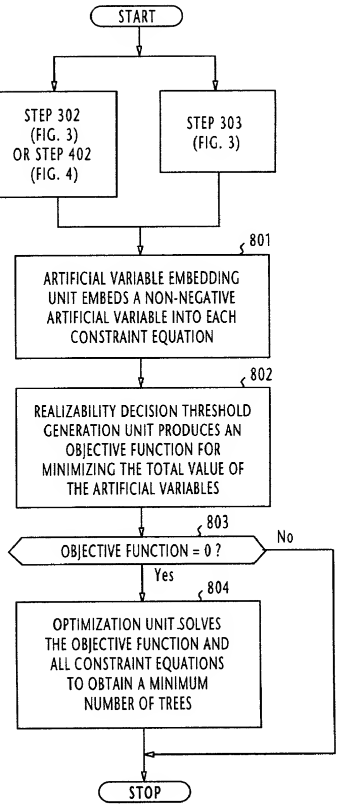
COMPUTER
701
REALIZABILITY DECISION THRESHOLD GENERATION UNIT
102
TREE FORMING CONDITION GENERATION UNIT
103
OPTIMIZATION REFERENCE GENERATION UNIT
704
ARTIFICIAL VARIABLE EMBEDDING UNIT
105
OPTIMIZATION UNIT
106
INPUT DEVICE
107
OUTPUT DEVICE
108
STORAGE MEDIUM

FIG. 8


START
STEP 302 (FIG. 3) OR STEP 402 (FIG. 4)
STEP 303 (FIG. 3)
801
ARTIFICIAL VARIABLE EMBEDDING UNIT EMBEDS A NON-NEGATIVE ARTIFICIAL VARIABLE INTO EACH CONSTRAINT EQUATION
802
REALIZABILITY DECISION THRESHOLD GENERATION UNIT PRODUCES AN OBJECTIVE FUNCTION FOR MINIMIZING THE TOTAL VALUE OF THE ARTIFICIAL VARIABLES
803
OBJECTIVE FUNCTION = 0 ?
No
Yes
804
OPTIMIZATION UNIT SOLVES THE OBJECTIVE FUNCTION AND ALL CONSTRAINT EQUATIONS TO OBTAIN A MINIMUM NUMBER OF TREES
STOP

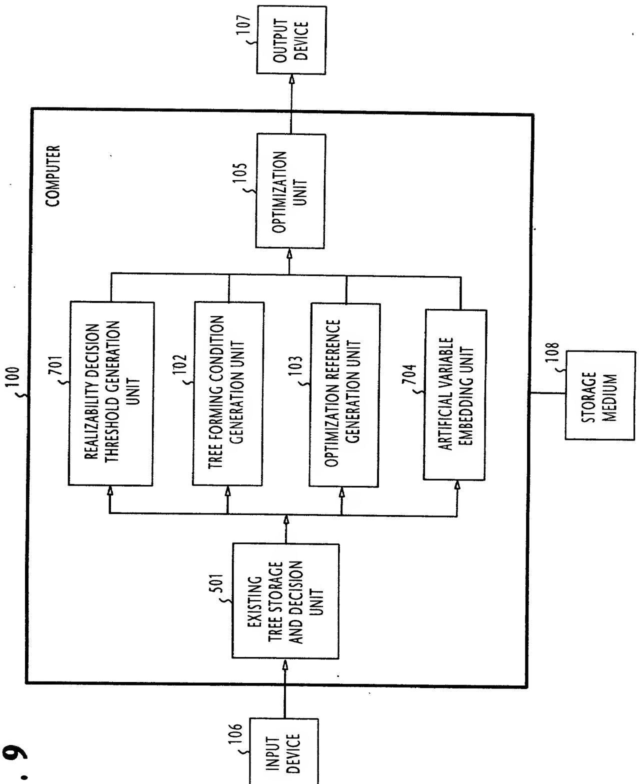
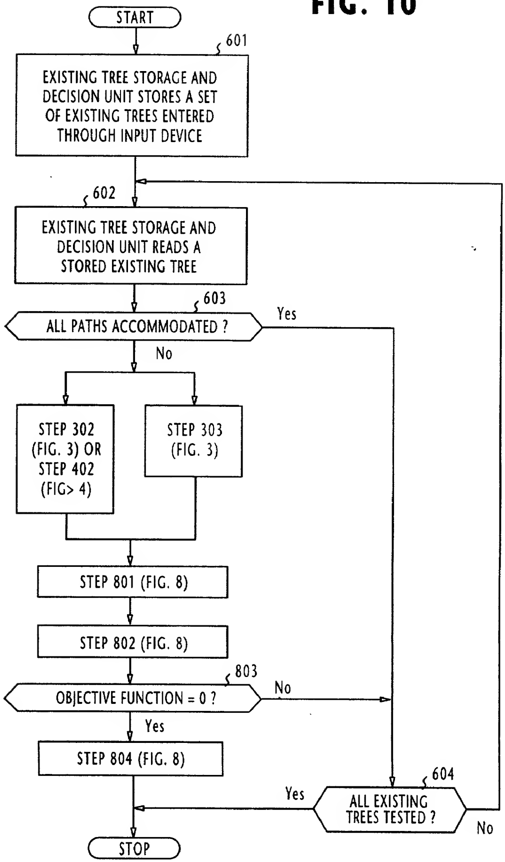

COMPUTER
100
701
REALIZABILITY DECISION THRESHOLD GENERATION UNIT
102
TREE FORMING CONDITION GENERATION UNIT
103
OPTIMIZATION REFERENCE GENERATION UNIT
704
ARTIFICIAL VARIABLE EMBEDDING UNIT
501
EXISTING TREE STORAGE AND DECISION UNIT
105
OPTIMIZATION UNIT
106
INPUT DEVICE
107
OUTPUT DEVICE
108
STORAGE MEDIUM

FIG. 9

FIG. 10


START
601
EXISTING TREE STORAGE AND DECISION UNIT STORES A SET OF EXISTING TREES ENTERED THROUGH INPUT DEVICE
602
EXISTING TREE STORAGE AND DECISION UNIT READS A STORED EXISTING TREE
603
ALL PATHS ACCOMMODATED ?
Yes
No
STEP 302 (FIG. 3) OR STEP 402 (FIG> 4)
STEP 303 (FIG. 3)
STEP 801 (FIG. 8)
STEP 802 (FIG. 8)
803
OBJECTIVE FUNCTION = 0 ?
No
Yes
STEP 804 (FIG. 8)
604
ALL EXISTING TREES TESTED ?
Yes
No
STOP

201929/99
NE-1017-US
アメリカ (森崎特許)

Application for United States Patent

DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name;

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:
APPARATUS AND METHOD FOR DESIGNING COMMUNICATION PATHS OF TREE STRUCTURE

the specification of which:

(check one)

☒ is attached hereto

☐ was filed on ______________, as Application Serial No. __________ and was amended on __________. (if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56*

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

(Number)	(Country)	(Day/Month/Year Filed)	priority claimed yes	no
11-201929	Japan	15/07/1999	X	

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

(Application Serial No.)	(Filing Date)	(Status: patented, pending, abandoned)

Power of Attorney: As a named inventor, I hereby appoint Sean M. McGinn, Reg. 34,386, and Frederick W. Gibb, III, Reg. No. 37,629 as attorneys and/or agents to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. All correspondence should be directed to **McGinn & Gibb, P.C., 1701 Clarendon Boulevard, Suite 100, Arlington, Virginia 22209**. Telephone calls should be directed to McGinn & Gibb, P.C. at **(703) 294-6699**.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful

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false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full Name of Sole or First Inventor Hiroyuki SAITO
Inventor's Signature Hiroyuki Saito 斎藤 Date July 11, 2000
Residence Tokyo, Japan
Citizenship Japanese
Post Office Address c/o NEC Corporation, 7-1, Shiba 5-chome, Minato-ku, Tokyo, Japan

Full Name of Second Joint Inventor, If Any ________
Inventor's Signature ________ Date ________
Residence ________
Citizenship ________
Post Office Address ________

Full Name of Third Joint Inventor, If Any ________
Inventor's Signature ________ Date ________
Residence ________
Citizenship ________
Post Office Address ________

Full Name of Fourth Joint Inventor, If Any ________
Inventor's Signature ________ Date ________
Residence ________
Citizenship ________
Post Office Address ________

(An additional sheet(s) is/are attached hereto if the present invention includes more than four inventors.)

*Title 37, Code of Federal Regulations, § 1.56:

(a) A patent by its very nature is affected with a public interest. The public interest is best served, and the most effective patent examination occurs when, at the time an application is being examined, the Office is aware of and evaluates the teachings of all information material to patentability. Each individual associated with the filing and prosecution of a patent application has a duty of candor and good faith toward the Patent and Trademark Office, which includes a duty to disclose to the Office all information known to that individual to be material to patentability as defined in this section. The duty to disclose information exists with respect to each pending claim until the claim is canceled or withdrawn from consideration, or the application becomes abandoned.

(b) Under this section, information is material to patentability when it is not cumulative to information already of record or being made of record in the application, and (1) it establishes , by itself or in combination with other information, a prima facie case of unpatentability; or (2) it refutes, or is inconsistent with, a position the applicant takes in: (i) opposing an argument of unpatentability relied on by the Office, or (ii) asserting an argument of patentability.